



## Core Unit 2 – Regulation of Retirement Provision

### EXAMINATION PAPER

Monday 6 April 2020, 2.00pm – 4.00pm

Fill in these particulars:

Centre Name

Candidate Number

Your full name:

There are two parts to the question paper.

**Part One** Contains 50 multiple choice questions. Each question shows **FOUR** possible answers (lettered 'a', 'b', 'c' and 'd') only **ONE** is correct. Attempt **ALL** questions on the white answer sheet **in pencil**.

**Part Two** Contains several short answer questions. Attempt **ALL** questions in the green answer booklet **in pen**.

It is suggested equal time is spent on both parts of the question paper.

**THIS EXAMINATION PAPER REMAINS THE PROPERTY OF THE PENSIONS MANAGEMENT INSTITUTE AND MUST BE HANDED TO THE INVIGILATOR AT THE END OF THE EXAMINATION.**

## **Part Two: Short Answer Questions**

This part of the examination contains a number of short answer questions, worth 50 marks in total. Please answer all of the questions.

Please make sure that you answer these questions in the green answer booklet in pen.

1. List the Trustees' policies which must be contained a Statement of Investment Principles.  
(10 marks)
2. List the events which would lead to an individual triggering the Money Purchase Annual Allowance.  
(5 marks)
3. In 2010 the Pensions Regulator published regulatory guidance for Trustees, providers and administrators on the testing and measurement of member data. Write notes on the three types of data.  
(7 marks)
4. Under section 7 of the Pensions Act 1995, The Pension Regulator has the power to appoint pension scheme trustees in certain circumstances.
  - a) Explain the main purpose of this power (2 marks)
  - b) List the circumstances in which TPR can appoint a trustee (6 marks)(8 marks total)
5.
  - a) Explain the Role of the Information Commissioner 's Office.(4 marks)
  - b) List the powers it can use to enforce data protection requirements. (6 marks)(10 marks total)
6. Where a business sale occurs, explain the legal requirements the Pensions Act 2004 places on the buyer, for future service pension provision for the employees of the target business.  
(10 marks)

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50 Marks this section